

#6

BEST AVAILABLE COPY

TROP, PRUNER & HU, P.C.

INTELLECTUAL PROPERTY LAW ATTORNEYS

8554 Katy Freeway, Suite 100
Houston, Texas 77024
Bus: (713) 468-8880
Fax: (713) 468-8883**Fax**

| | | | |
|----------|---------------|---------|---------------------------|
| To: | John Deal | From: | Dan C. Hu |
| Company: | USPTO | Date: | April 2, 2002 |
| Fax: | 703/746-6606 | Pages: | 13 (including coversheet) |
| Your Re: | SN 09/977,038 | Our Re: | NCR.0063US |

☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Confirm Receipt

MESSAGE:

Attached are copies of the Response To Notice To File Missing Parts filed with the U.S. Patent Office on January 8, 2002 and a copy of the stamped postcard indicating receipt by the U.S. Patent Office.

• **Notice:** This information is intended to be for the use of the individual or entity named on this transmittal sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify the sender by telephone immediately so that arrangements can be made for the retrieval of the original document at no cost to you.

BEST AVAILABLE COPY

RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: NRC.0063US (10150)
Date: January 8, 2002

DCH/lo

The Patent and Trademark Office date stamp sets forth the receipt date of the following documents in the below referenced patent application identified as follows:

Applicant(s): DOUGLAS P. BROWN, JEETENDRA CHAWARE AND MANJULA KOPPURAVURI
Serial No.: 09/977,038
Filing Date: October 12, 2001
Title: INDEX SELECTION IN A DATABASE SYSTEM

1. Response To Notice To File Missing Parts (In Duplicate) (no check);
2. Copy Of Notice To File Missing Parts;
3. Declaration (Signed By Koppuravuri);
4. Assignment (Signed By Koppuravuri);
5. Assignment Recordation Form Coversheet (In Duplicate) (no check); and
6. Postcard.



**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)****Docket No.
10150 (NRC0063US)**In Re Application Of: **Douglas P. Brown, Jeetendra Chaware and Manjula Koppuravuri****Serial No.
09/977,038****Filing Date
October 12, 2001****Examiner****Group Art Unit
2171****Invention: Index Selection in a Database System****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
November 21, 2001 ..
Date

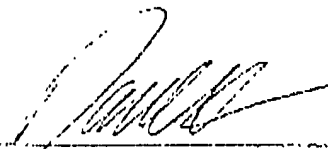
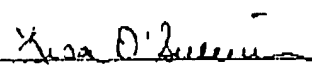
Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Assignment
Recordation Form Coversheet**

P31LARGE/REV02

#6

| | | | |
|---|--|---|---|
| Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Large Entity) | | | Docket No. 10150 (NRC0063US) |
| In Re Application Of: Douglas P. Brown, Jeetendra Chaware and Manjula Koppuravuri | | | |
| Serial No. 09/977,038 | Filing Date October 12, 2001 | Examiner | Group Art Unit 2171 |
| Invention: Index Selection in a Database System | | | |
| <u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u> | | | |
| The fee of \$130.00 is to be paid as follows: <input type="checkbox"/> A check in the amount of the fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1673 (10150) A duplicate copy of this sheet is enclosed. <input type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. A duplicate copy of this sheet is enclosed. | | | |
|  _____ Signature | | Dated: January 8, 2002 | |
| Dan C. Hu Reg. No. 40,025 Trop, Pruner & Hu, P.C. 8554 Katy Freeway, Suite 100 Houston, TX 77024 (713) 468-8880 [Phone] (713) 468-8883 [Fax] | | <div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on Jan. 8, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p style="text-align: center;"> _____ Signature of Person Mailing Correspondence</p><p style="text-align: center;">Lisa O'Sullivan _____ Typed or Printed Name of Person Mailing Correspondence</p></div> | |
| cc: | | | |



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/977,038 | 10/12/2001 | Douglas P. Brown | 10150 (NCRC-0063-US) |

CONFIRMATION NO. 3717

FORMALITIES LETTER

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100
OC000000007103545

John D. Cowart
NCR Corporation
Law Department IP WHQ-4W
1700 S. Patterson Blvd.
Dayton, OH 45479

RECEIVED

NOV 27 2001

LAW DEPARTMENT

Date Mailed: 11/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Manjula Koppuravuri
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

APR-02-02 TUE 10:31 [REDACTED] PRUNER & HU, P.C.

FAX NO. [REDACTED] 468 8883

P. 07

Page 2 of 2

BEST AVAILABLE COPY

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE